

Attorney's Docket No.:  
020431.0827

04-11-01

PATENT

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Express Mail No.: EL504347837USUS

PATENT APPLICATION

Attorney Docket No.: 020431.0827



TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Sir:

Inventor or Application Identifier:

**Yikang (nmi) Li**

Transmitted herewith for filing is the patent application entitled:

**ESTIMATING BASE SALES VOLUME USING A LOW-PASS FILTER APPROACH**

  X   Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- X   Specification, Claims and Abstract (  35   Total Pages)  
  X   Drawing(s) (  3   Total Sheet(s) of   X   Formal        Informal)  
  X   Combined Declaration and Power of Attorney (  3   Total Pages)  
  X   Newly executed (original or copy)

✓   X   An Assignment of the invention to i2 Technologies, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).

  X   Certificate of Mailing (  1   page)

  X   Return Receipt Postcard

  X   Bibliographic Datasheet (1 page)

Applicant is:

☒ Large Entity  
☐ Small Entity

The accompanying application is:

☒ Original  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part  
(CIP) of prior application No. \_\_\_\_\_ which is hereby incorporated by  
reference therein.

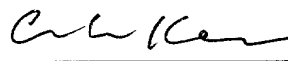
FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	<u>Basic Fee</u> \$710.00
Total Claims:	43	-20 =	23	X \$18.00	\$414.00
Independent Claims	4	- 3 =	1	X \$80.00	\$ 80.00
TOTAL FILING FEE =					\$1,204.00

☒ Enclosed is a check in the amount of \$1,204.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account  
No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.

  
\_\_\_\_\_  
Christopher W. Kennerly  
Reg. No. 40,675  
Attorneys for Applicant

Dated: 4/9/01  
CWK/bt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yikang (nmi) Li  
Filing Date: April 9, 2001  
Title: ESTIMATING BASE SALES VOLUME USING A LOW-  
PASS FILTER APPROACH

**BOX PATENT APPLICATION**

Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Declaration and Power of Attorney, Assignment Recordation Form and Assignment, Fee Transmittal Sheet, Bibliographic Data Sheet (1 page), Certificate of Mailing, 3 sheets of Formal Drawings, and checks in the amounts of \$1,204.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 9th day of April, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.



Willie Jiles

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No. EL759104985US  
Attorney's Docket No.:  
020431.0827